



Gp. 1107

T-4  
6-4-97  
PATENT  
PD-5385  
#31A  
8-18-97  
B. Drake

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

HIROYUKI KINOSHITA ET AL.

Serial No.: 08/808,315

Filing Date: February 27, 1997

For: SAPPHIRE MONOCRYSTAL,  
SEMICONDUCTOR LASER  
DIODE USING THE SAME FOR  
SUBSTRATE AND METHOD  
FOR MANUFACTURING THE  
SAME

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) ✓ ART GROUP UNIT: Unassigned

) ✓  
) Examiner: Unassigned

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GROUP 1100

PRELIMINARY AMENDMENT

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

Prior to examination, please amend the above-referenced application as follows:

IN THE SPECIFICATION:

Please change the title to read: -- SAPPHIRE SINGLE CRYSTAL,  
SEMICONDUCTOR LASER DIODE USING THE SAME FOR SUBSTRATE, AND  
METHOD FOR MANUFACTURING THE SAME --.



FORM PTO-1083

Case Docket No. PD-5385In re Application of Hiroyuki Konishita et al.  
Serial No. 08/808,315Date: May 29, 1997

Filed: February 27, 1997

For: SAPPHIRE MONOCRYSTAL, SEMICONDUCTOR LASER DIODE USING THE SAME FOR  
SUBSTRATE AND METHOD FOR MANUFACTURING THE SAME  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment/response in the above-identified application.

- Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- X No additional fee is required.
- Information Disclosure Statement

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)		(Col. 3)	SMALL	ENTITY		OTHER THAN A	
	REMAINING	PREVIOUSLY		PRESENT		ADDIT.		SMALL	ENTITY
	AFTER	PAID FOR		EXTRA	RATE	FEE OR		RATE	ADDIT.
	AMENDMENT								FEE
TOTAL	* 16	MINUS ** 20	=	0	x11	\$	OR	x22	\$
INDEP CLAIMS	* 7	MINUS *** 7	=	0	x40	\$	OR	x80	\$
— FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+130	\$	OR	+260	\$
				TOTAL		\$	OR	TOTAL	\$ 0

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
- \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- Please charge my Deposit Account No. 12-1820 the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$\_\_\_\_\_ to cover the extension fee is enclosed.
- A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- A check in the amount of \$230.00 to cover the Information Disclosure Statement.
- A check in the amount of \$130.00 to cover the Information Disclosure Statement.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. A duplicate copy of this sheet is enclosed.
- X Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- X Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Alex chartove, 31,942

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